Please return *this survey via enclosed prepaid envelope. If you wish to fax or email it to us, please fax to (775) 562-8212 or email to info@navellier.com*

CLIENT PROFILE

Please complete ALL sections (1-21)

NAVELLIER
Calculated Investing

PRIVATE
CLIENT
GROUP

1. What is your Full Name: ______

Mailing Address ______

City ______ State ______ Zip Code ______

Home Phone ______ Business Phone ______ email ______

Country of Citizenship ______ Age: ______

2. Marital Status: ◯ Single ◯ Married ◯ Divorced ◯ Widowed **3. Number of Dependents:** ______

4. What is your Spouse's Full Name: ______ Spouse's Age: ______

5. Employment Status: ◯ Employed ◯ Self Employed ◯ Retired

Employer: ______ Position/Title: ______

How long have you been retired (*years*): ______

6. What type of Investment Accounts do you currently have? (Please check all that apply):

☐ Individual ☐ Custodian ☐ Estate ☐ Joint ☐ Trust ☐ Retirement Plan

Other, please specify: ______

7. If retirement plans, type of plans:

☐ Defined Benefit Pension ☐ Money Purchase Pension ☐ Profit Sharing Pension ☐ Jointly-Trusteed Pension (Taft-Hartley) ☐ IRA ☐ Roth IRA

☐ SEP IRA ☐ Public Employee Pension ☐ Endowment ☐ 401(k) Other, please specify: ______

8. Annual Income:

◯ < $100,000
◯ $100,000 - $250,000
◯ $250,001 - $500,000
◯ > $500,000

9. Net Worth:

◯ < $500,000
◯ $500,000 - $1,000,000
◯ $1,000,000 - $2,000,000
◯ > $2,000,000

10. Does your Net Worth indicated in #9 include real estate (physical real estate, not REITS):

☐ Yes ☐ No

If YES, what is the fair market value of the real estate? ______

What is the outstanding mortgage on all real estate you own? ______

11. Liquid Net Worth, excluding primary residence (*i.e., investments that can easily be turned into cash: stocks, ETFs, mutual funds, government bonds, etc.):*

- ◯ < $100,000
- ◯ $100,000 - $249,000
- ◯ $250,000 - $499,000
- ◯ $500,000 - $749,000
- ◯ $750,000 - $999,999
- ◯ $1,000,000 - $1,999,999
- ◯ > $2,000,000 - $4,999,999
- ◯ > $5,000,000 - $9,999,999

12. How would you characterize your investment objective?:

- ◯ Primary emphasis on income-generating investment
- ◯ Primary emphasis on moderate growth with some focus on income
- ◯ Primary emphasis on growth of capital with no income consideration

13. How long have you been investing? ◯ Less than 3 years ◯ 3 - 5 years ◯ 6 - 10 years ◯ more than 10 years

14. What is your goal for the money you invest with Navellier? : ◯ Retirement ◯ Inheritance for heirs

Other: ____

If inheritance, who are your heirs? ◯ Spouse ◯ Children ◯ Grandchildren ◯ Siblings

15. Time Horizon: ***How much time do you have to achieve your return goals:***

◯ < 3 yrs. ◯ 3-5 yrs. ◯ 5-7 yrs. ◯ 7-10 yrs. ◯ >10 yrs.

16. Risk Tolerance: Please indicate the best match to your investment objectives:

◯ Very Conservative ◯ Conservative ◯ Moderate ◯ Moderately Aggressive ◯ Aggressive ◯ Very Aggressive

17. Are you an employee, owner, or a representative of any business that involves investments in the stock, commodity, or alternative investment markets?

☐ Yes ☐ No

If YES, please describe your occupation ____

18. Are you currently a Navellier & Associates client? ◯ Yes ◯ No

19. If YES, are you a direct client of Navellier or do you work with an advisor?

☐ I am a Direct Client ☐ I work with an Advisor ☐ I am NOT yet a client

20. If Advisor, with WHICH advisor are you working?

☐ Wells Fargo ☐ Merrill Lynch ☐ Ameriprise Financial Advisors ☐ Prudential Other: ____

21. Do you currently custody your investment assets with a discount broker? If so, which one?

☐ TD Ameritrade ☐ Schwab ☐ Fidelity Other: ____

If **no**, with which custodian are your accounts held? ____

Signature ____ Signature ____ Date ____

We collect only the information necessary to provide you with comprehensive investment advisory services. We ***do not*** *sell this information to any third party.*