

Please complete ALL sections (1-21)



1. What is your Full Name:

Mailing Address

City State Zip Code

Home Phone Business Phone email

Country of Citizenship Age:

2. Marital Status: Single Married Divorced Widowed 3. Number of Dependents:

4. What is your Spouse's Full Name: Spouse's Age:

5. Employment Status: Employed Self Employed Retired

Employer: Position/Title:

How long have you been retired (years):

6. What type of Investment Accounts do you currently have? (Please check all that apply):

- Individual Custodian Estate Joint Trust Retirement Plan

Other, please specify:

7. If retirement plans, type of plans:

- Defined Benefit Pension Money Purchase Pension Profit Sharing Pension Jointly-Trusteed Pension (Taft-Hartley) IRA Roth IRA
- SEP IRA Public Employee Pension Endowment 401(k) Other, please specify:

8. Annual Income:

- < \$100,000
- \$100,000 - \$250,000
- \$250,001 - \$500,000
- > \$500,000

9. Net Worth:

- < \$500,000
- \$500,000 - \$1,000,000
- \$1,000,000 - \$2,000,000
- > \$2,000,000

10. Does your Net Worth indicated in #9 include real estate (physical real estate, not REITS):

- Yes No

If YES, what is the fair market value of the real estate?

What is the outstanding mortgage on all real estate you own?

11. Liquid Net Worth, excluding primary residence (i.e., investments that can easily be turned into cash: stocks, ETFs, mutual funds, government bonds, etc.):

- < \$100,000 \$500,000 - \$749,000 > \$2,000,000 - \$4,999,999
 \$100,000 - \$249,000 \$750,000 - \$999,999 > \$5,000,000 - \$9,999,999
 \$250,000 - \$499,000 \$1,000,000 - \$1,999,999

12. How would you characterize your investment objective?:

- Primary emphasis on income-generating investment
 Primary emphasis on moderate growth with some focus on income
 Primary emphasis on growth of capital with no income consideration

13. How long have you been investing? Less than 3 years 3 - 5 years 6 - 10 years more than 10 years

14. What is your goal for the money you invest with Navellier? : Retirement Inheritance for heirs

Other:

If inheritance, who are your heirs? Spouse Children Grandchildren Siblings

15. Time Horizon: How much time do you have to achieve your return goals:

- < 3 yrs. 3-5 yrs. 5-7 yrs. 7-10 yrs. >10 yrs.

16. Risk Tolerance: Please indicate the best match to your investment objectives:

- Very Conservative Conservative Moderately Aggressive Aggressive Very Aggressive

17. Are you an employee, owner, or a representative of any business that involves investments in the stock, commodity, or alternative investment markets?

- Yes If YES, please describe your occupation
 No

18. Are you currently a Navellier & Associates client? Yes No

19. If YES, are you a direct client of Navellier or do you work with an advisor?

- I am a Direct Client I work with an Advisor I am NOT yet a client

20. If Advisor, with WHICH advisor are you working?

- Wells Fargo Merrill Lynch Ameriprise Financial Advisors Prudential Other:

21. Do you currently custody your investment assets with a discount broker? If so, which one?

- TD Ameritrade Schwab Fidelity Other:

If **no**, with which custodian are your accounts held?

Signature Signature Date